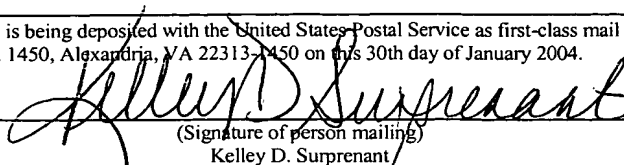


Patent Application
Attorney Docket No. PC26122A

I hereby certify that this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on this 30th day of January 2004.

By



(Signature of person mailing)

Kelley D. Surprenant

(Typed or printed name of person)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Walter C. Babcock, et al. :

APPLICATION NO.: 10/678,145 : Examiner: To be assigned

FILING DATE: October 6, 2003 : Group Art Unit: 1617

TITLE: Compositions of Cholesteryl Ester Transfer Protein :
Inhibitors and HMG-CoA Reductase Inhibitors

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT
PURSUANT TO 37 C.F.R. § 1.97 ET SEQ.

Applicant(s) herein make(s) available to the U.S. Patent and Trademark Office a copy of PTO-FB-A820 which lists the references cited by the applicant(s), copies of which are enclosed.

The Examiner is requested to consider carefully the complete text of these references in connection with the examination of the above-identified application in accord with 37 C.F.R. § 1.104(a). It is believed the Examiner will concur with applicant's belief that the subject matter presently claimed is neither anticipated nor rendered obvious by the foregoing references.

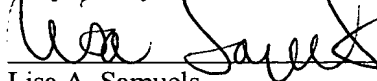
It is requested that the references listed on the attached form PTO-FB-A820 be included in the "References Cited" portion of any patent issuing from this application (M.P.E.P. § 1302.12).

No fee is believed to be due, however, the Commissioner is authorized to charge any fees, which may be required, or credit any overpayment, to Account No. 16-1445.

A prompt and favorable response is earnestly solicited.

Date: January 30, 2004

Respectfully submitted,



Lisa A. Samuels

Attorney for Applicant(s)

Reg. No. 43,080

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Patent Department, MS 8260-1611
Eastern Point Road
Groton, Connecticut 06340
(860) 686-0349



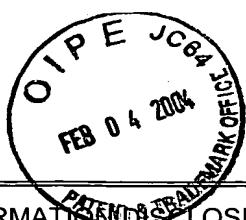
INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)	ATTY. DOCKET NO. PC26122A	SERIAL NO. 10/678,145
	APPLICANT Walter C. Babcock, et al.	
	FILING DATE October 3, 2003	GROUP To be assigned

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	US	3	9	8	3	1	4	0	9/28/76	Endo, et al.	260	343.5	
	US	4	2	3	1	9	3	8	11/4/80	Monaghan, et al.	260	343.5	
	US	4	2	9	4	9	2	6	10/13/81	Monaghan, et al.	435	125	
	US	4	3	1	9	0	3	9	3/9/82	Albers-Schonberg	560	256	
	US	4	3	4	6	2	2	7	8/24/82	Terahara, et al.	560	119	
	US	4	4	1	0	6	2	9	10/18/83	Terahara, et al.	435	146	
	US	4	4	4	4	7	8	4	4/24/84	Hoffman, et al.	424	279	
	US	4	4	4	8	9	7	9	5/15/84	Terahara, et al.	549	292	
	US	4	4	5	0	1	7	1	5/22/84	Hoffman, et al.	424	279	
	US	4	5	3	7	8	5	9	8/27/85	Terahara, et al.	435	146	
	US	4	6	4	7	5	7	6	5/3/87	Hoefle, et al.	514	422	
	US	4	6	8	1	8	9	3	7/21/87	Roth	514	422	
	US	4	6	8	6	2	3	7	8/11/87	Anderson	514	532	
	US	4	7	3	9	0	7	3	4/19/88	Kathawala	548	406	
	US	4	7	6	9	2	3	6	9/6/88	Panoz, et al.	424	80	
	US	4	8	2	0	8	5	0	4/11/89	Verhoeven, et al.	549	292	
	US	4	8	6	6	0	5	8	9/12/89	Izydore, et al.	514	241	
	US	4	9	1	1	1	6	5	3/27/90	Lennard, et al.	606	231	
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	US	5	0	2	5	0	1	7	6/18/91	Karanewsky	514	277	
	US	5	0	3	0	4	4	7	7/9/91	Joshi, et al.	424	80	



INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)										ATTY. DOCKET NO. PC26122A	SERIAL NO. 10/678,145		
										APPLICANT Walter C. Babcock, et al.			
										FILING DATE October 3, 2003	GROUP	To be assigned	
	US	5	0	4	9	5	7	7	9/17/91	Varna, et al.	514	409	
	US	5	0	4	9	6	9	6	9/17/91	Lee, et al.	560	75	
	US	5	0	8	1	1	3	6	1/14/92	Bertolini, et al.	514	359	
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	US	5	1	5	7	1	3	4	10/20/92	Karnewsky	549	463	
	US	5	1	6	6	3	6	4	11/24/92	Saunders, et al.	549	214	
	US	5	1	7	7	0	8	0	1/5/93	Angerbauer, et al.	514	277	
	US	5	1	8	0	5	8	9	1/19/93	Joshi, et al.	424	465	
	US	5	1	8	9	1	6	4	2/23/93	Kapa, et al.	544	297	
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	US	5	2	2	5	1	9	2	7/6/93	Lovrecich	424	78.02	
	US	5	2	6	0	4	4	0	11/9/93	Hirai, et al.	544	322	
	US	5	2	7	3	9	9	5	12/28/93	Roth	514	422	
	US	5	2	7	5	8	2	4	1/4/94	Carli, et al.	424	490	
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	US	5	3	4	2	9	5	2	8/30/94	Butler, et al.	546	245	
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	US	5	4	8	9	6	9	1	2/6/96	Butler, et al.	548	517	
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	US	5	9	3	2	5	8	7	8/3/99	Schmeck, et al.	514	278	
	*US	6	0	6	9	1	4	8	5/30/00	Schmidt, et al.	514	277	



INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)							ATTY. DOCKET NO. PC26122A			SERIAL NO. 10/678,145			
							APPLICANT Walter C. Babcock, et al.						
							FILING DATE October 3, 2003			GROUP To be assigned			
	US	6	1	4	0	3	4	3	10/31/00	DeNinno, et al.	515	313	
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	**US	6	2	0	7	6	7	1	3/27/01	Schmidt, et al.	514	277	
	US	R	E	3	7	3	1	4	8/7/01	Hirai, et al.	514	316	
	US	6	3	1	0	0	7	5	10/30/01	DeNinno, et al.	514	313	
	US	6	3	1	3	1	4	2	11/6/01	Damon, et al.	514	313	
	US	6	4	6	2	0	9	1	10/8/02	Keller, et al.	514	655	
	US	0	0	3	5	1	2	5	3/21/02	Shear	514	313	

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DOCUMENT NUMBER									DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
													YES	NO
	EP	0	1	4	2	1	4	6	5/22/85	European	C07C	59/90		
	EP	0	2	2	1	0	2	5	5/6/87	European	C07D	207/337		
	***EP	1	0	2	0	4	3	9	7/19/00	European	C07C	323/40		
	EP	0	4	9	1	2	2	6	8/14/96	European	C07D	213/55		
	EP	0	5	2	1	4	7	1	10/25/00	European	C07D	239/42		
	EP	0	8	1	8	4	4	8	1/14/98	European	C07D	215/20		
	WO	8	6	0	3	4	8	8	6/19/86	International	C07C	69/738		
	WO	8	6	0	7	0	5	4	4/12/86	International	C07D	233/64		
	WO	9	8	3	5	9	3	7	8/20/98	International	C07C	323/40		
	WO	9	8	3	9	2	9	9	9/11/98	International	C07D	215/20		
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	WO	9	9	1	5	5	0	4	4/1/99	International	C07D	215/20		
	WO	9	9	4	1	2	3	7	8/19/99	International	C07D	213/80		



INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)									ATTY. DOCKET NO. PC26122A			SERIAL NO. 10/678,145		
									APPLICANT Walter C. Babcock, et al.					
									FILING DATE October 3, 2003			GROUP To be assigned		
	WO	0	0	1	8	7	2	1	4/6/00	International	C07C	215/16		
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	WO	0	2	1	3	7	9	7	2/21/02	International	A61K	31/00		
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	WO	02	0	8	8	0	6	9	11/7/02	International	C07C	255/00		
	WO	02	0	8	8	0	8	5	11/7/02	International	C07D	215/00		

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

			U.S. non-provisional application number 10/173,987, filed June 17, 2002 (our reference: PC23062A).											
			U.S. provisional application number 60/353,986, filed February 1, 2002 (our reference: PC23203).											
			U.S. provisional application number 60/354,080, filed February 1, 2002 (our reference: PC23195).											
			U.S. provisional application number 60/435,345, filed December 20, 2002 (our reference: PC25108).											
			U.S. provisional application number 60/435,298, filed December 20, 2002 (our reference: PC2554).											
			U.S. provisional application number 10/175,566, filed June 17, 2002 (our reference: PC11876A).											
			Perry's <i>Chemical Engineers' Handbook</i> , Sixth Edition (R. H. Perry, D. W. Green, J. O. Maloney, eds.) McGraw-Hill Book Co. 1984, pages 20-54 to 20-57.											
			Marshall, Jr., W. R., <i>Atomization and Spray-Drying</i> , Chem. Eng. Prog. Monogr. Series 2 (1954).											
			Gordon, D. J., et al., <i>High-Density Lipoprotein Cholesterol and Cardiovascular Disease</i> , <u>Circulation</u> , vol. 79, no. 1, January 1989.											
			Remington: <i>The Science and Practice of Pharmacy</i> , 20 th Edition (2000).											
			Encyclopedia of Chemical Technology, 4th Edition (John Wiley & Sons, 1991).											
			*English language equivalent to EP 0818448.											
			**English language equivalent to EP 049226.											
			***English language equivalent to WO 98/35937.											

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.